

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

FTX TRADING LTD., *et al.*,<sup>1</sup>

Debtors.

Chapter 11

Case No. 22-11068 (JTD)

(Jointly Administered)

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ALAMEDA RESEARCH LTD., WEST REALM  
SHIRES, INC., and WEST REALM SHIRES  
SERVICES, INC.,

Plaintiffs,

- against -

SAMUEL BANKMAN-FRIED, NISHAD  
SINGH, and ZIXIAO “GARY” WANG,

Defendants.

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Adv. Pro. No. 23-50381 (JTD)

**SUMMONS AND NOTICE OF PRETRIAL  
CONFERENCE IN AN ADVERSARY PROCEEDING**

YOU ARE SUMMONED and required to file a motion or answer to the complaint which is attached to this summons with the clerk of the bankruptcy court within thirty (30) days after the date of issuance of this summons, except that the United States and its offices and agencies shall file a motion or answer to the complaint within thirty-five (35) days.

Address of Clerk:

United States Bankruptcy Court for the District of Delaware  
824 Market Street, 3<sup>rd</sup> Floor  
Wilmington, Delaware 19801

At the same time, you must also serve a copy of the motion or answer upon the plaintiffs’ attorneys.

Name and Address of Plaintiff’s attorneys:

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<sup>1</sup> The last four digits of FTX Trading Ltd.’s and Alameda Research LLC’s tax identification numbers are 3288 and 4063 respectively. Due to the large number of debtor entities in these Chapter 11 Cases, a complete list of the Debtors and the last four digits of their federal tax identification numbers is not provided herein. A complete list of such information may be obtained on the website of the Debtors’ claims and noticing agent at <https://cases.ra.kroll.com/FTX>.

**LANDIS RATH & COBB LLP**

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-and-

**SULLIVAN & CROMWELL LLP**

Steven L. Holley (*pro hac vice* pending)  
Andrew G. Dietderich (admitted *pro hac vice*)  
James L. Bromley (admitted *pro hac vice*)  
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If you make a motion, your time to answer is governed by Fed. R. Bankr. P. 7012.

YOU ARE NOTIFIED that a pretrial conference of the proceeding commenced by the filing of the complaint will be held at the following time and place.

**Address: United States Bankruptcy Court  
824 Market Street  
Wilmington, DE 19801**

**Room:  
5<sup>th</sup> Floor, Courtroom No. 5**

**Date and Time:  
June 28, 2023 at 1:00 p.m. (ET)**

**IF YOU FAIL TO RESPOND TO THIS SUMMONS, YOUR FAILURE WILL BE DEEMED TO BE YOUR CONSENT TO ENTRY OF A JUDGMENT BY THE BANKRUPTCY COURT AND JUDGMENT BY DEFAULT MAY BE TAKEN AGAINST YOU FOR THE RELIEF DEMANDED IN THE COMPLAINT.**

**United States Bankruptcy  
Court for the District  
of Delaware**

Dated: May 17, 2023

/s/ Una O'Boyle  
*Clerk of the Bankruptcy Court*

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Defendants.

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**NOTICE OF DISPUTE RESOLUTION ALTERNATIVES**

As party to litigation you have a right to adjudication of your matter by a judge of this Court. Settlement of your case, however, can often produce a resolution more quickly than appearing before a judge. Additionally, settlement can also reduce the expense, inconvenience, and uncertainty of litigation.

There are dispute resolution structures, other than litigation, that can lead to resolving your case. Alternative Dispute Resolution (ADR) is offered through a program established by this Court. The use of these services are often productive and effective in settling disputes. **The purpose of this Notice is to furnish general information about ADR.**

The ADR structures used most often are mediation, early-neutral evaluation, mediation/arbitration and arbitration. In each, the process is presided over by an impartial third party, called the “neutral.”

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In mediation and early neutral evaluation, an experienced neutral has no power to impose a settlement on you. It fosters an environment where offers can be discussed and exchanged. In the process, together, you and your attorney will be involved in weighing settlement proposals and crafting a settlement. The Court in its Local Rules requires all ADR processes, except threat of a potential criminal action, to be confidential. You will not be prejudiced in the event a settlement is not achieved because the presiding judge will not be advised of the content of any of your settlement discussions.

Mediation/arbitration is a process where you submit to mediation and, if it is unsuccessful, agree that the mediator will act as an arbitrator. At that point, the process is the same as arbitration. You, through your counsel, will present evidence to a neutral, who issues a decision. If the matter in controversy arises in the main bankruptcy case or arises from a subsidiary issue in an adversary proceeding, the arbitration, though voluntary, may be binding. If a party requests *de novo* review of an arbitration award, the judge will rehear the case.

**Your attorney can provide you with additional information about ADR and advise you as to whether and when ADR might be helpful in your case.**

Dated: May 17, 2023

/s/ Una O'Boyle

Clerk of Court

**CERTIFICATE OF SERVICE**

I, Howard W. Robertson IV, certify that I am, and at all times during the service of process was, not less than 18 years of age and not a party to the matter concerning which service of process was made. I further certify that the service of this summons and a copy of the complaint was made as follows:

- ☒ Mail Service: Regular, first-class United States mail, postage fully pre-paid, made on May 24, 2023, addressed to:

Samuel Bankman-Fried  
743 Cooksey Lane  
Stanford, CA 94305

- ☒ Personal Service: By electronic mail made on May 17, 2023 to the following counsel to defendant as agreed to by the parties:

Nishad Singh  
c/o Russell Capone, Esq.  
Cooley LLP  
55 Hudson Yards  
New York, NY 10001-2157  
E-mail: rcapone@cooley.com

Zixiao "Gary" Wang  
c/o Ilan Graff, Esq.  
Fried, Frank, Harris Shriver & Jacobson LLP  
One New York Plaza  
New York, New York 10004  
E-mail: ilan.graff@friedfrank.com

- ☐ Residence Service: By leaving the process with the following adult at:
- ☐ Certified Mail Service on an Insured Depository Institution: By sending the process by certified mail addressed to the following officer of the defendant at:
- ☐ State Law: The defendant was served pursuant to the laws of the State of

Under penalty of perjury, I declare that the foregoing is true and correct.

Date: May 24, 2023

/s/ Howard W. Robertson IV  
Signature

Print Name: Howard W. Robertson IV		
Business Address: 919 N Market St, Suite 1800		
City: Wilmington	State: DE	Zip: 19801